

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,576	06/08/2001	Michael A. O'Connor	5181-72700

CONFIRMATION NO. 4215

## FORMALITIES LETTER



\*OC00000006405561\*

Rory D. Rankin  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767

Date Mailed: 08/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$206.
  - \$126 for 7 total claims over 20.
  - \$80 for 1 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1046.

01/11/2002 NMOHAMM1 00000059 501505 09877576

01 FC:101	740.00 CH
02 FC:103	126.00 CH
03 FC:102	84.00 CH
04 FC:105	130.00 CH

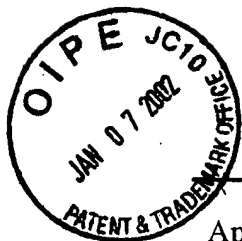
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT  
(5181-72700/P4284)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/877,576  
Filed: June 8, 2001  
Inventor(s):  
Michael A. O'Connor

Examiner: Unknown  
Batch No: Unknown  
Group/Art Unit: 2151  
Atty. Dkt. No: 5181-72700

Title: A METHOD OF  
ALLOCATING STORAGE  
IN A STORAGE AREA  
NETWORK

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Rory D. Rankin

Name of Registered Representative

Signature

Date

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231  
Attn: Box Missing Parts

Dear Sir:

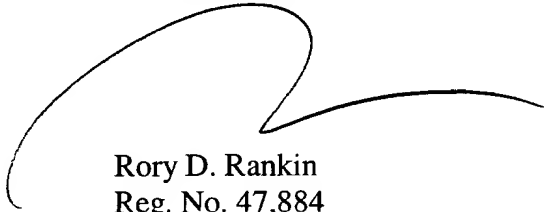
Applicant received a Notice to File Missing Parts of Application, mailed August 9, 2001, in the above-identified application indicating that the following fees were missing: 1) statutory basic filing fee; 2) additional claims fees; and 3) a late filing fee or oath or declaration surcharge. However, Applicant notes on the original Utility Patent Application Transmittal, filed on June 8, 2001, the Commissioner was authorized to charge the filing fee and any other fees which may be required to the firm deposit account, Conley, Rose & Tayon Deposit Account No. 501505/5181-72700/RDR. Enclosed herewith is a postcard receipt date-stamped by the United States Patent and Trademark Office evidencing receipt of the Transmittal Letter. Also enclosed is a copy of the previously submitted Transmittal Letter. Since the required fees had indeed been

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authorized by the original application papers, Applicant believes the Notice to File Missing Parts was sent in error, and that no fee should be due.

However, if any fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5181-72700/RDR.

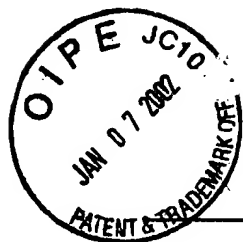
Respectfully submitted,



Rory D. Rankin  
Reg. No. 47,884  
Attorney for Applicant(s)

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 476-1400

Date: 10/29/01



PATENT  
(5181-72700)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL  
(For new non-provisional applications under 37 CFR § 1.53(b))

Atty. Dkt. No:	5181-72700
Inventor(s):	Michael A. O'Connor
Title:	A METHOD OF RE- ALLOCATING STORAGE IN A COMPUTER NETWORK

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CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10	
"Express Mail" mailing label number: EL726369242US	
DATE OF DEPOSIT:	June 8, 2001
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to:	
Commissioner for Patents Box Patent Application Washington, DC 20231	
 Derrick Brown	

Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

Total Claims	27	-20=	7	x \$18.00=	\$126.00
Independent Claims	4	-3 =	1	x \$80.00=	\$80.00
Multiple Dependent Claims				Fee:	
				Basic Fee:	\$710.00
				Assignment Fee	
				Total:	\$916.00

- ☒ The Commissioner is hereby authorized to charge the filing fee and any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5181-72700/RDR.
2. ☒ Specification  
18 page(s) of specification; 8 page(s) of claims, 1 page(s) of abstract
3. ☒ Drawings  
Formal Figure(s) 1-6 on 7 sheet(s)
4. ☒ Oath or Declaration  
☒ Newly executed  
☐ Copy from a prior application (see 37 C.F.R. § 1.63(d))  
Deletion of Inventor(s) (in continuation or divisional applications):  
☐ Delete the following inventor(s) named in the prior non-provisional application:  
  
☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.
5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Microfiche Computer Program (Appendix)

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7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- ☐ Computer Readable copy
  - ☐ Paper Copy (identical to computer copy)
  - ☐ Statement verifying identity of above copies
8. ☒ Assignment Papers
9. Power of Attorney
- ☒ Is attached.
  - ☐ The power of attorney appears in the original papers of the prior application.
  - ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
10. ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS Citations
11. Amendments
- ☐ A preliminary amendment is enclosed.
  - ☐ Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.
  - ☐ Amend the specification by inserting before the first line the sentence: \_\_\_\_\_.
12. ☒ Return Receipt Postcard
13. Small Entity Status
- ☐ A small entity statement is enclosed.
  - ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
  - ☐ Is no longer claimed.
14. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)
15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
16. ☒ Fee Authorization form

Address all future correspondence to:

Rory D. Rankin  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767  
Phone: (512) 476-1400 Fax: (512) 703-1250

Signature

Name

Registration No.

Date

Rory D. Rankin

47,884

June 8, 2001